

Receipt

PATENT

Attorney Docket No.: 20512-1-2US

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Assistant Commissioner for Patents Washington, D.C. 20231

On Oct. 17, 2001

By: Julie Taylor Clough

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Arti Arora, et al.

Application No.: 09/823,956

Filed: March 30, 2001

For: SYSTEM AND METHOD FOR
IMPLEMENTING ELECTRONIC
MARKETS

Examiner: Unassigned

Art Unit: 2161

REQUEST FOR CORRECTED FILING
RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

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JAN 24 2002
Technology Center 2100


Sir:

Attached, with the correction marked in red, is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in the priority application serial number. The priority application serial number should be 60/193,955 as indicated on the Supplemental Application Data Sheet filed with the Response to Missing Parts dated July 17, 2001 (copy enclosed.)

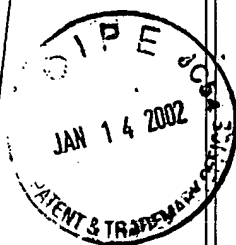
This correction is not due to any error by applicant and no fee is due.

Respectfully submitted,


Charles J. Kufas
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CJ Kulas
020512 000120US

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/823,956 | 03/30/2001 | 2161 | 1011 | 020512000120 | 2 | 59 | 9 |

CONFIRMATION NO. 7580

UPDATED FILING RECEIPT



OC00000006544774

20350
TOWNSEND AND TOWNSEND AND CREW
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SAN FRANCISCO, CA 94111-3834

Date Mailed: 09/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Arti Arora, Los Altos, CA;
Edward Lazear, Portola Valley, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF ~~60/493,966~~ 03/31/2000

60/193,955

Foreign Applications

If Required, Foreign Filing License Granted 05/21/2001

Projected Publication Date: 12/20/2001

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

System and method for implementing electronic markets

Preliminary Class

705

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Data entry by : GURMU, MULUEMEBET

Team : OIPE

Date: 09/13/2001

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Supplemental Application Data Sheet

Inventor Information

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State or Province:: CA
Postal or Zip Code:: 94028
Citizenship Country:: USA

Correspondence Information

Correspondence Customer Number:: 20350

Application Information

Title Line One:: SYSTEM AND METHOD FOR
Title Line Two:: IMPLEMENTING ELECTRONIC MARKETS
Total Drawing Sheets:: 2
Formal Drawings?: No
Application Type:: Utility
Docket Number:: 20512000120
Secrecy Order in Patent Appl.?: No

Representative Information

Representative Customer Number:: 20350

Continuity Information

This application is a:: Non-Provisional of Provisional
> Application One:: 60/193,955
Filing Date:: March 31, 2000
Patent Number::

which is a::

>>Application Two::

Filing Date::

Patent Number::

and which is a::

>>Application Three::

Filing Date::

Patent Number::

This application is a::

>Application Four::

Filing Date::

Patent Number::

which is a::

>>Application Two::

Filing Date::

Patent Number::

and which is a::

>>Application Three::

Filing Date::

Patent Number::

Prior Foreign Applications

Foreign Application One::

Filing Date::

Country::

Priority Claimed::

Foreign Application Two::

Filing Date::

Country::

Priority Claimed::



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

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 WASHINGTON, D.C. 20231
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Bib Data Sheet

CONFIRMATION NO. 7580

| | | | | |
|---|---|-------------------------------|---|--|
| SERIAL NUMBER 09/823,956 | FILING DATE 03/30/2001 RULE | CLASS 705 | GROUP ART UNIT 2161 3625 | ATTORNEY DOCKET NO. 020512000120 |
| APPLICANTS Arti Arora, Los Altos, CA; Edward Lazear, Portola Valley, CA; | | | | |
| ** CONTINUING DATA ***** <i>Y CHN</i> THIS APPLN CLAIMS BENEFIT OF 60/193,955 03/31/2000 | | | | |
| ** FOREIGN APPLICATIONS ***** <i>N CHN</i> | | | | |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 05/21/2001 | | | | |
| Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____ | | STATE OR COUNTRY CA | SHEETS DRAWING 2 | TOTAL CLAIMS 59 |
| INDEPENDENT CLAIMS 9 | | | | |
| ADDRESS 20350 | | | | |
| TITLE System and method for implementing electronic markets | | | | |
| FILING FEE RECEIVED 1011 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | |